## CERTIFICATION OF INDIVIDUAL

To be completed by ALL INDIVIDUALS who hold a $\mathbf{2 0 \%}$ or greater ownership interest in the loan applicant.

## GENERAL INFORMATION

| 1. NAME AND ADDRESS OF APPLICANT (Firm Name, Street, City, State and Zip): |
| :--- |

You must initial your responses to questions 8 and 10-17.
If you answer "yes" to questions 10-16 or "no" to question 17, furnish details on a separate sheet. For criminal matters, include dates, location, fines, sentences, whether misdemeanor or felony, unpaid fines or penalties, and any other pertinent information. A criminal record will not necessarily disqualify you; however, an untruthful answer will cause your application to be denied and subject you to other penalties as noted below.
10. Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction?
$\square$ YesNo Initials:
$\qquad$
11. Have you been arrested in the past 12 months for any criminal offense?
$\square$ Yes $\square$ No Initials: $\qquad$
12. For any criminal offense - other than a minor vehicle violation - have you ever: 1) been convicted; 2) pled guilty; 3) pled nolo contendere; 4) been placed on pretrial diversion; or 5) been placed on any form of parole or probation (including probation before judgment)?
$\qquad$

| 13. Have you filed for bankruptcy within the past ten (10) years? | $\square$ Yes | $\square$ No | Initials: |
| :--- | :--- | :--- | :--- |
| 14. Are there any outstanding judgments entered against you? | $\square$ Yes | $\square$ No | Initials: |
| 15. Are you presently a party to any lawsuits? | $\square$ Yes | $\square$ No | Initials: |
| 16. Are there any local, state or federal tax liens filed against you? | $\square$ Yes | $\square$ No | Initials: |
| 17. Are you current with all local, state, and federal taxes? | $\square$ Yes | $\square$ No | Initials: |

## CERTIFICATION

CAUTION - PENALTIES FOR FALSE STATEMENTS: Knowingly making a false statement on this form is a violation of law and could result in criminal prosecution, significant civil penalties, and a denial of your loan.

NAME \& TITLE (TYPED OR PRINTED):

